

Review

## Review of the Two-Step H<sub>2</sub>O/CO<sub>2</sub>-Splitting Solar Thermochemical Cycle Based on Zn/ZnO Redox Reactions

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**Abstract:** This article provides a comprehensive overview of the work to date on the two-step solar H<sub>2</sub>O and/or CO<sub>2</sub> splitting thermochemical cycles with Zn/ZnO redox reactions to produce H<sub>2</sub> and/or CO, *i.e.*, synthesis gas—the precursor to renewable liquid hydrocarbon fuels. The two-step cycle encompasses: (1) The endothermic dissociation of ZnO to Zn and O<sub>2</sub> using concentrated solar energy as the source for high-temperature process heat; and (2) the non-solar exothermic oxidation of Zn with H<sub>2</sub>O/CO<sub>2</sub> to generate H<sub>2</sub>/CO, respectively; the resulting ZnO is then recycled to the first step. An outline of the underlying science and the technological advances in solar reactor engineering is provided along with life cycle and economic analyses.

**Keywords:** solar; energy; concentrated; thermochemical cycle; hydrogen; syngas; reactor

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### Nomenclature

$A$  = area

$C$  = solar flux concentration ratio

$c_p$  = heat capacity

$E_a$  = apparent activation energy

$G$  = Gibbs free energy

$H$  = enthalpy

$I$  = direct-normal solar irradiance

$k$  = rate constant

$k_{\text{eff}}$  = effective thermal conductivity

$k_0$  = pre-exponential factor

$M$  = molecular weight

$m$  = mass

$n$  = molar amount

$\dot{n}$  = molar flow rate

$Q$  = heat transfer rate

$q'''$  = volumetric heat sink

$R$  = universal gas constant

$r'''$  = rate of reaction

$S$  = surface area

$t$  = time

$T$  = absolute temperature

$T_L$  = temperature of surroundings

$T_R$  = nominal reactor temperature

$W$  = rate of work

$y$  = mole fraction

$\alpha$  = order of reaction

$\eta$  = efficiency

$\rho$  = density

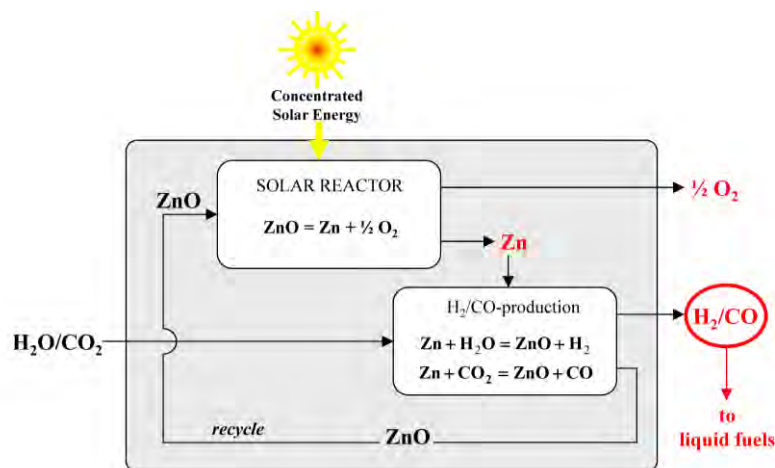
$\sigma$  = Stefan-Boltzmann constant

## 1. Introduction

Solar thermochemistry uses concentrated solar energy as the source of high-temperature process heat to drive endothermic reactions. It provides an efficient route for storing intermittent solar energy in the form of chemical fuels such as  $\text{H}_2$  and  $\text{CO}$ . The combination of  $\text{H}_2$  and  $\text{CO}$  constitutes synthesis gas (syngas), which is the precursor to renewable liquid hydrocarbon fuels required to power the transportation sectors. Using sunlight to produce syngas directly from  $\text{H}_2\text{O}$  and captured  $\text{CO}_2$  signifies a promising path towards sustainable energy utilization.

The direct solar thermolysis of  $\text{H}_2\text{O}$  [1,2] and  $\text{CO}_2$  [3-5] require ultra-high temperatures ( $>2,500$  K) at which gaseous products must be separated to avoid recombination or resulting in an explosive mixture, making their realization difficult. The required process temperature can be reduced and the separation problem by-passed via two-step thermochemical cycles based on metal oxide redox reactions [6-14]. The  $\text{Zn}/\text{ZnO}$  redox pair emerges as one of the most promising for solar-driven  $\text{H}_2\text{O}$  and  $\text{CO}_2$  splitting cycles [15-18], shown schematically in Figure 1.

**Figure 1.** Schematic of the two-step solar thermochemical cycle based on Zn/ZnO redox reactions to produce H<sub>2</sub> and/or CO from H<sub>2</sub>O and/or CO<sub>2</sub>.



The first step is the thermal dissociation of ZnO using solar process heat, represented as:



The second non-solar step is the exothermal reaction of Zn with H<sub>2</sub>O and/or CO<sub>2</sub> to generate H<sub>2</sub> and/or CO, respectively, represented as:



The resulting ZnO is recycled to the first step to close the material cycle, with net reactions  $H_2O \rightarrow H_2 + \frac{1}{2}O_2$  and  $CO_2 \rightarrow CO + \frac{1}{2}O_2$ . The products are produced in separate steps, thereby eliminating their high temperature separation. Since the steps are decoupled, the production of H<sub>2</sub> and/or CO can be carried out on demand at convenient sites and independent of solar energy availability.

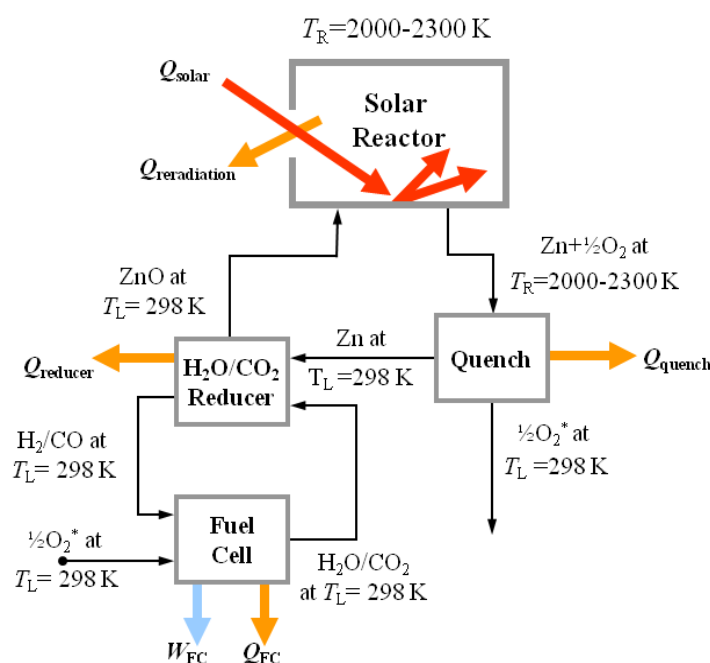
Second-law analyses have been performed to determine theoretical maximum cycle efficiencies and identify sources of irreversibility. Thermogravimetric analyses (TGA) have been performed to identify reaction mechanisms and determine kinetic rate laws for all pertinent reactions. These studies provided the foundation for reactor design, fabrication, testing, modeling, and optimization. Life cycle assessments and economic analyses have been performed to quantify realistic specific CO<sub>2</sub> emissions and ascertain the competitiveness of the cycle *vis-à-vis* other fuel processing technologies. The bulk of the work is divided up over several years and contained in numerous publications. The aim of this review paper is to consolidate the pertinent findings and technologies and provide an overview of the state-of-the art.

## 2. Second-Law Analyses

Second-law (exergy) analyses were performed to establish theoretical maximum solar-to-chemical energy conversion efficiencies. A flow diagram for a generic H<sub>2</sub>O/CO<sub>2</sub> splitting cycle is shown schematically in Figure 2, comprised of a solar reactor, a quench unit, and a H<sub>2</sub>O/CO<sub>2</sub> reducer. Heat exchangers for recovering sensible and/or latent heat from the hot products exiting the solar reactor are

omitted from consideration. Additional assumptions include: the solar reactor is a perfectly insulated blackbody cavity-receiver (no convection or conduction heat losses; effective absorptivity and emissivity approaching unity); all products separate naturally without expending work; kinetic and potential energies are negligible; and reactions go to completion. The solar reactor is operated in the range 2,000–2,300 K, as the thermal dissociation of ZnO proceeds at reasonable rates above 2,000 K. Lower pressures and/or a carrier gas shift the thermodynamic equilibrium to favor the reaction at lower temperatures.

**Figure 2.** Model flow diagram for second-law analysis of the two-step H<sub>2</sub>O/CO<sub>2</sub> solar thermochemical cycle based on Zn/ZnO redox reactions.



The solar energy absorption efficiency is defined as the net rate at which solar energy is being absorbed, divided by the solar power input through the solar reactor aperture given by:

$$\eta_{\text{absorption}} = \frac{Q_{\text{reactor, net}}}{Q_{\text{solar}}} = \frac{\dot{n}\Delta H_{\text{ZnO at } T_L \rightarrow \text{Zn} + \frac{1}{2}\text{O}_2 \text{ at } T_R}}{Q_{\text{solar}}} = 1 - \left( \frac{\sigma T_R^4}{IC} \right) \tag{3}$$

$\eta_{\text{absorption}}$  expresses the capability of a solar reactor to absorb incoming concentrated solar energy but does not include the losses incurred in collecting and concentrating solar energy. The solar-to-chemical energy conversion efficiency is defined as the portion of solar energy input that is converted into chemical energy given by the Gibbs free energy of the products,  $-\dot{n}\Delta G$ , *i.e.*, the rate of maximum possible work that can be extracted from the products when transformed back to the reactants at  $T_L = 298$  K in a reversible, ideal fuel cell,  $W_{\text{FC,ideal}}$ :

$$\eta_{\text{solar-to-chemical}} = \frac{-\dot{n}\Delta G_{\text{CO/H}_2 + \frac{1}{2}\text{O}_2 \rightarrow \text{CO}_2/\text{H}_2\text{O at } T_L}}{Q_{\text{solar}}} = \frac{W_{\text{FC,ideal}}}{Q_{\text{solar}}} \tag{4}$$

where  $\dot{n}$  represents the molar flow rate. Cycle analysis for splitting H<sub>2</sub>O [15] and CO<sub>2</sub> [16] at  $T_R = 2,000$  K (adjusted for comparison with  $T_R = 2,300$  K [15]),  $C = 5,000$  suns, and  $I = 1$  kW/m<sup>2</sup>

yields  $\eta_{\text{solar-to-chemical}} = 35\%$  and  $39\%$ , respectively. Partial recovery of the sensible and latent heat of the products exiting the solar reactor can further boost  $\eta_{\text{solar-to-chemical}}$  to over  $50\%$ .

### 3. Kinetic Analyses

The identification of the reaction mechanism for each step and the determination of the pertinent kinetic rate laws are crucial for reactor engineering design.

*ZnO thermal dissociation*—A solar-driven thermogravimeter, in which a packed bed of ZnO particles was directly exposed to concentrated solar radiation at  $C = 2,400$  suns, was used to measure the thermal dissociation rate in a set-up closely approximating the heat and mass transfer characteristics of solar reactors [19]. Isothermal runs were performed in the range  $1,800\text{--}2,100$  K and fitted to a zero-order Arrhenius rate law:

$$\frac{1}{A} \frac{dm_{\text{Zn}}}{dt} = k_0 \exp\left(\frac{-E_a}{RT}\right) \quad (5)$$

where  $A$  is the packed-bed area exposed to concentration solar irradiation and  $T$  is the ZnO surface temperature measured by solar-blind pyrometry. The corresponding apparent activation energy,  $E_a = 361 \pm 53$  kJ mol<sup>-1</sup>, and pre-exponential factor,  $k_0 = 14.03 \times 10^6 \pm 2.73 \times 10^6$  kg m<sup>-2</sup> s<sup>-1</sup>, were determined from linear regression with 95% confidence interval. Application of a kinetic expression, derived by L'vov for solid oxides decomposition along with a convective mass transport correlation, yielded kinetic parameters in close agreement with those derived from experimental data [19]. The values for  $E_a$  were comparable to non-solar thermogravimetric [20,21] and solar non-gravimetric [22] analyses. Kinetic parameters determined in an aerosol flow reactor resulted in a pre-exponential factor three orders of magnitude faster than in stationary reactors [21,23].

*Zn oxidation with H<sub>2</sub>O*—TGA in the range  $623\text{--}773$  K was performed for Zn oxidation with H<sub>2</sub>O, Equation (2a), with faster reaction rates and higher conversions obtained above the Zn melting point of  $693$  K [19]. Two distinct reaction mechanisms were identified for submicron-sized Zn particles at  $603\text{--}633$  K with  $10\text{--}50\%$  H<sub>2</sub>O-Ar [24]: A fast interface-controlled regime followed by a transition to a slow diffusion-controlled regime, limited by Zn ion migration across the ZnO surface layer. A power rate law with an Arrhenius-type temperature dependency was used to describe the surface-controlled regime, given as:

$$\frac{1}{S} \frac{dn_{\text{ZnO}}}{dt} = y_{\text{H}_2\text{O}}^\alpha k_0 \exp\left(\frac{-E_a}{RT}\right) \quad (6)$$

where  $S$  is the total surface area of particles,  $n$  is the molar amount of ZnO, and  $T$  represents the temperature measured by a thermocouple in contact with a crucible. Linear regression analysis and a logarithmic transformation yielded  $\alpha = 0.5$ ,  $k_0 = 2 \times 10^{-5}$  mol cm<sup>-2</sup> s<sup>-1</sup>, and  $E_a = 42.8 \pm 7.4$  kJ mol<sup>-1</sup>. A parabolic rate law with an Arrhenius-type temperature dependency was used to describe the diffusion-controlled regime, given as:

$$\frac{dm_{\text{ZnO}}}{dt} = \frac{M_{\text{ZnO}} S^2}{\rho_{\text{ZnO}}} \frac{k_0 \exp\left(\frac{-E_a}{RT}\right)}{m_{\text{ZnO}}} \quad (7)$$

resulting in  $k_0 = 1.5 \times 10^{-13} \text{ mol cm}^{-1} \text{ s}^{-1}$  and  $E_a = 42.9 \pm 6.5 \text{ kJ mol}^{-1}$ . No dependency upon  $y_{\text{H}_2\text{O}}$  was observed. Application of a model-free iso-conversional method to non-isothermal TGA with 158 nm Zn particles yielded  $E_a = 132 \pm 27 \text{ kJ mol}^{-1}$  [25]. A similar study using Zn nanoparticles fitted isothermal and non-isothermal hydrolysis experimental data to a kinetic model with  $E_a = 87 \pm 7 \text{ kJ mol}^{-1}$  and  $\alpha = 3.5 \pm 0.5$  [26]. Complete Zn-to-ZnO conversions were obtained by TGA for Zn particles smaller than 11  $\mu\text{m}$  [27], with strong dependence on the heating rate and weak dependence on the  $\text{H}_2\text{O}$  partial pressure.

*Zn oxidation with  $\text{CO}_2$* —Isothermal and non-isothermal TGA runs were performed for Zn oxidation with  $\text{CO}_2$ , Equation (2b) [28]. Similar mechanisms to that for Zn reactions with  $\text{H}_2\text{O}$  were identified: an interface-controlled regime that transitions to a diffusion-controlled regime. The shrinking core model (SCM) and a power rate law with an Arrhenius-type dependency was used to describe the interface-controlled regime, given as:

$$\frac{d}{dt} \left( \frac{n}{S} \right) = y_{\text{CO}_2}^\alpha k_0 \exp \left( -\frac{E_a}{RT} \right) \quad (8)$$

with  $y_{\text{CO}_2} = 0.17\text{--}0.75$ . The kinetic parameters  $\alpha = 0.339 \pm 0.034$ ,  $k_0 = 3.61 \pm 1.09 \text{ mol cm}^{-2} \text{ s}^{-1}$ , and  $E_a = 113.6 \pm 1.9 \text{ kJ mol}^{-1}$ , were determined using non-linear regression analysis and 95% confidence interval for each parameter calculated with constant chi-squared boundaries and covariance matrices. The parabolic rate law used for the diffusion-controlled regime is given as:

$$\frac{d}{dt} \left( \frac{n}{S} \right) = \frac{\rho_{\text{ZnO}}^2 k_0 \exp \left( -\frac{E_a}{RT} \right)}{2M_{\text{ZnO}}M_{\text{O}}(n/S)} \quad (9)$$

The kinetic parameters were determined as  $k_0 = 1.04 \times 10^{-2} \pm 4.26 \times 10^{-2} \text{ cm}^2 \text{ s}^{-1}$  and  $E_a = 162.3 \pm 25.3 \text{ kJ mol}^{-1}$ , respectively, and no dependency upon  $y_{\text{CO}_2}$  was observed.

*Zn oxidation with  $\text{H}_2\text{O}$  and  $\text{CO}_2$* —The simultaneous reaction between  $\text{H}_2\text{O}$  and  $\text{CO}_2$  with Zn, Equations (2a) and (2b), was studied for the production of syngas. Preliminary non-isothermal TGA runs at 673–1,423 K and mixtures of 20–90%  $\text{CO}_2\text{--H}_2\text{O}$  for 7.9  $\mu\text{m}$  Zn particles indicated a strong dependency between the molar ratio of the input gases,  $\text{H}_2\text{O}/\text{CO}_2$ , and that of the syngas product,  $\text{H}_2/\text{CO}$  [29]. As a follow-up work, isothermal TGA runs were performed for reactions with Zn in the temperature range 673–748 K and  $\text{CO}_2/\text{H}_2\text{O}$  concentrations of 2.5–15% in Ar [30]. The reaction mechanism was characterized by an initial fast interface-controlled regime followed by a slower diffusion-controlled regime. A rate law of Langmuir-Hinshelwood type, encompassing the adsorption of  $\text{CO}_2/\text{H}_2\text{O}$  on active surface sites, dissociation to atomic O and  $\text{CO}/\text{H}_2$ , and finally the desorption of  $\text{CO}/\text{H}_2$ , was formulated to describe the competitiveness of the reactions for the interface-controlled regime. By applying a SCM, the rate law is given as:

$$\frac{d}{dt} \left( \frac{n_{\text{ZnO}}}{S} \right) = \frac{k_3(k_1 y_{\text{CO}_2} + k_2 y_{\text{H}_2\text{O}})}{k_3 + k_1 y_{\text{CO}_2} + k_2 y_{\text{H}_2\text{O}}} \quad (10)$$

The kinetic parameters of  $k_i$ , assumed to obey an Arrhenius-type temperature dependency  $k = k_0 \exp[E_a/(RT)]$ , are listed in Table 1 and were determined with non-linear regression analysis along with 95% confidence intervals.

**Table 1.** Arrhenius kinetic parameters for Equation (10) [30].

rate constant	$k_0$ , mol cm <sup>-2</sup> s <sup>-1</sup>	$E_a$ , kJ mol <sup>-1</sup>
$k_1$	$0.0018 \pm 0.0009$	$60.3 \pm 3.3$
$k_2$	$0.29 \times 10^{-5} \pm 0.083 \times 10^{-5}$	$15.0 \pm 1.6$
$k_3$	$0.0216 \pm 0.0214$	$70.3 \pm 8.4$

#### 4. Solar Reactor Technology

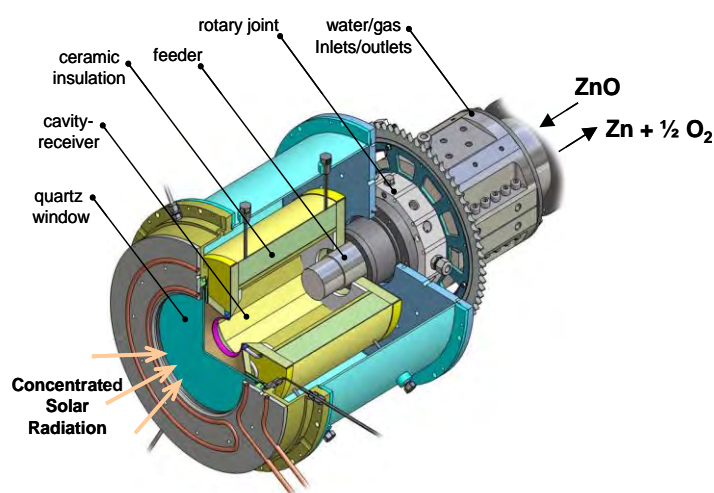
*ZnO thermal dissociation*—Solar reactors for high-temperature gas-solid thermochemical reactions often feature cavity-receivers containing reacting particles directly exposed to concentrated solar irradiation [31,32]. The direct solar irradiation of the chemical reactants provides efficient energy transfer to the reaction site and bypasses the limitations imposed by indirect heat transfer—by conduction—through reactor walls, *i.e.*, limitations imposed by the materials of the absorber with regard to maximum operating temperature, inertness to the chemical reaction, thermal conductivity, radiative absorptance, and resistance to thermal shocks. The solar energy conversion efficiency is determined by the interrelationship between the solar flux intensity, chemical kinetics of the reaction, and the rate of feeding reactants and recovering products [17]. Additionally, the gaseous products Zn(g) and O<sub>2</sub> must be cooled rapidly enough to avoid recombination and ensure high Zn yields [33].

Exploratory experimentation to thermally dissociate ZnO was carried out at the solar furnaces and high-flux solar simulators of PSI/ETH (Switzerland) and CNRS-Odeillo/Nancy (France). Initially, small-scale solar reactors containing ZnO pellets or powders within transparent enclosures were directly subjected to high-flux solar radiation while product Zn(g) was condensed on cold surfaces or collected downstream in a filter [34-37]. Scalable solar reactor concepts have been realized with packed-bed reactors—such as rotary cavity-receivers—and aerosol flow reactors. The “slope” packed-bed reactor consisted of a chamber partly filled with pressed ZnO powder forming a 45° slope that was directly exposed to concentrated solar radiation entering through a transparent quartz window [38]. The uppermost ZnO layers were dissociated, whereas the non-reacted ZnO bed insulated the reactor walls. The reactor was used to identify the kinetic rate law in the range 1,950-2,400 K [22]. An aerosol flow reactor was tested in the range 1,873–2,023 K using an electrically heated transport tube apparatus [23]. A cooling lance was used to limit Zn(g)/O<sub>2</sub> recombination, resulting in maximum and mean Zn yields of 18% and 8%, respectively, for residence times of less than 1.8 s.

A series of rotary reactors was designed [33,39-41]. The solar reactor configuration is shown schematically in Figure 3 [40]. ZnO particles are introduced into a rotating cavity and forced against the cavity walls by centripetal acceleration, creating a packed-bed layer that is directly exposed to concentrated solar irradiation entering the cavity aperture through a transparent quartz window. With this arrangement, the ZnO particles serve simultaneously the functions of radiative absorbers, thermal insulators, and chemical reactants. The reactor has a dynamic feeder that extends and retracts within the cavity, allowing for batches of an evenly distributed layer of ZnO particles of desired thickness over the entire cavity surface. 3D computational fluid dynamics (CFD) was employed to determine the optimal flow configuration for an aerodynamic protection of the quartz window against condensable Zn(g). A 10 kW reactor prototype was fabricated, consisting of a 160 mm-dia. 230 mm-length cylindrical cavity containing a 60 mm-dia. aperture with a 3 mm-thick quartz window. The multi-layer

cylindrical cavity was made of sintered ZnO tiles placed on top of a porous 80%Al<sub>2</sub>O<sub>3</sub>–20%SiO<sub>2</sub> insulation and reinforced by a 95%Al<sub>2</sub>O<sub>3</sub>–5%Y<sub>2</sub>O<sub>3</sub> ceramic matrix composite, providing mechanical, chemical, and thermal stability and a diffusion barrier for product gases. Experimentation was carried out with the 10 kW reactor subjected to mean  $C > 3,000$  suns and peak  $C = 5,880$  suns [40]. All reactor components performed well at operating temperatures in the range 1,807–1,907 K, measured behind the ZnO tiles. The reactor was operated in a transient ablation mode with semi-continuous feed cycles of ZnO particles, characterized by a rate of heat transfer—predominantly by radiation—to the layer of ZnO particles undergoing endothermic dissociation that proceeded faster than the rate of heat transfer—predominantly by conduction—through the cavity walls.

**Figure 3.** Schematic of the solar rotary reactor configuration [40].



Reactor modeling has enabled a more comprehensive and in-depth understanding of the competing mechanisms and the identification of major sources of energy losses, which lead to optimization and scaling up. Various aspects of solar chemical reactors have been modeled at different levels of complexity. CFD was used to determine the flow patterns in a tubular aerosol flow reactor for aspect ratios between 0.15–0.45 at 1,900–2,300 K [42]. The overall temperatures were found to decrease with increasing tube diameter, resulting in slower kinetics and lower ZnO-to-Zn conversions. CFD was also used to simulate the thermal reduction of metal oxides in particle-laden flows, including ZnO [43]. In a separate study, a cavity receiver containing a tubular absorber was coupled to a heat transfer model to predict temperatures and ZnO-to-Zn conversions [44]. A more fundamental approach was used to describe the transient radiative transfer of semitransparent ZnO particles undergoing thermal dissociation [45]. The effect of sintering and shrinkage on the rate of heat transfer was examined for a packed bed of ZnO particles subjected to  $C = 1,225$ – $2,133$  suns and surface temperatures in the range 1,834–2,109 K [46,47]. Operating conditions were typical of an ablation regime controlled by the rate of radiative heat transfer to the first layers of ZnO undergoing endothermic dissociation. This analysis served to determine the effective thermal transport properties of the ZnO packed bed, namely the extinction coefficient, the surface absorptivity, and the effective thermal conductivity. For simulating the rotary reactor, Figure 2, a heat transfer model was initially formulated [48–49] and then expanded to consider the exact 3D geometry of the cavity, the actual semi-batch feeding mode of ZnO particles,



and the fact that the packed-bed layer of ZnO particles lining the cavity shrinks as the reaction progresses [50]. The more accurate kinetic rate law derived from experimental measurements in a solar-driven thermogravimeter for directly irradiated ZnO samples, Equation (5) [19], was incorporated into the model. Radiative transport was coupled to chemical kinetics, which enabled consideration of directional and wavelength depended radiation exchange by Monte Carlo simulations [51,49]. The unsteady-state energy conservation equation that links conductive, convective, and radiative heat transfer to the rate of the reaction is given by:

$$\rho c_p \frac{\partial T}{\partial t} = \nabla \cdot (k_{\text{eff}} \nabla T) + q''''_{\text{chemistry}} \quad (11)$$

$q''''_{\text{chemistry}}$  is the volumetric heat sink rate due to the endothermic dissociation of ZnO,

$$q''''_{\text{chemistry}} = -r'''' \Delta H_r(T) \quad (12)$$

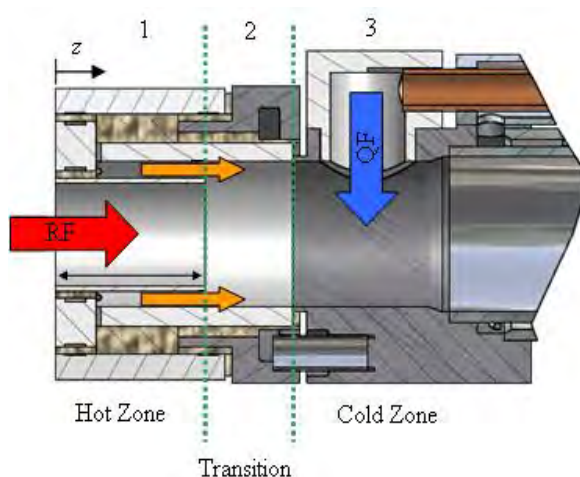
where the enthalpy change of the reaction is determined by [52] and the reaction rate  $r''''$  (in  $\text{kg m}^{-3} \text{s}^{-1}$ ) is modeled by applying a zero-order Arrhenius-type rate law, Equation (5). The effective thermal conductivity is given by the sum of the conductive and radiative contributions, using the Rosseland diffusion approximation for the optically-thick packed-bed of ZnO. The radiosity and Monte Carlo methods were applied to obtain the distribution of net radiative fluxes, and the finite volume method with the explicit Euler time integration scheme were applied to solve the integrated form of Equation (11) over a shrinking domain. Experimental validation was accomplished with the 10 kW reactor prototype in terms of temperatures and reaction extents for solar experimental runs with multiple feed-cycles. The ZnO dissociation reaction occurred in the topmost layers which is typical of an ablation regime, as radiative transfer to the endothermic reaction proceeded at a faster rate than heat conduction across the ZnO layer and the insulation. Scaling up the reactor to 100 and 1,000 kW nominal solar power input has the potential of reaching maximum solar-to-chemical conversion efficiencies exceeding 50%, mainly as a result of higher reaction rates at higher operating temperatures and a reduction in the conduction losses through optimization of the geometry to minimize water-cooled components [50].

*Zn/O<sub>2</sub> separation technology*—The efficient separation of gaseous products, Zn(g) and O<sub>2</sub>, to avoid recombination upon cooling is an important aspect for the technical realization of the Zn/ZnO cycle. Research has focused on diluting and rapidly cooling of gaseous products below the Zn saturation and solidification points. The condensation of zinc vapor in the presence of O<sub>2</sub> was studied by fractional crystallization in a temperature-gradient tube furnace [53]. It was found that the oxidation of Zn is a heterogeneous process and, in the absence of nucleation sites, Zn(g) and O<sub>2</sub> can coexist in a meta-stable state. In particular, the quench efficiency is sensitive to the dilution ratio of Zn(g) in an inert gas flow and to the temperature of the surface on which the products are quenched. Alternatively, electrolytic methods have been proposed for *in situ* separation of Zn(g) and O<sub>2</sub> at high temperatures, and experimentally demonstrated to work in small scale reactors [54].

Initial experimentation to rapidly quench thermally dissociated products of ZnO resulted in a global molar efficiency of 60% recovery of the Zn from ZnO [35]. A more mechanistic approach was used to identify rate controlling factors based on temperatures for condensation and solidification of Zn with Ar dilution over a range of partial pressures [37]. A mass transfer model accounting for radial diffusion

in the laminar flow regime revealed that the Zn(g) oxidation rate was limited by the gas and wall temperatures below ZnO-decomposition and above Zn(g)-condensation temperatures [55]. A quenching unit was incorporated at the exit of the 10 kW solar reactor to rapidly decrease temperature by injecting Ar into a water-cooled annular outlet [56]. XRD analysis along with SEM and TEM images of the solid products deposited at the quenching zone revealed the formation of spherical particles of sizes in the 0.1–30  $\mu\text{m}$  range, with their surface covered with smaller edged structures, which are characteristic of Zn(g) undergoing condensation followed by Zn(l)/Zn(s) oxidation and coalescence. An optimized quench apparatus attached to a solar-driven thermogravimeter was used to rapidly cool the products resulting from the ZnO decomposition at 1,820–2,050 K [57]. This apparatus, shown schematically in Figure 4, was composed of three distinct zones: 1) A hot zone directly attached to the reactor exit, where the temperature was above the ZnO decomposition temperature, and Zn(g) oxidation was thermodynamically unfavorable; 2) a transition zone where the temperature was below the decomposition temperature of ZnO, but above the saturation temperature of Zn where Zn(g) and O<sub>2</sub> formed a meta-stable mixture and ZnO can form on surfaces; and 3) a cold zone where the temperature was below the Zn saturation temperature and Zn(g) nucleated homogeneously, forming particles that served as nucleation sites in addition to the surfaces. An annular Ar flow in the second, transition zone was employed for suppressing diffusion of Zn(g) towards the walls. The product gases Zn(g) and O<sub>2</sub> were quenched by injection of Ar in the third, cold zone at cooling rates from 20,000 to 120,000 K s<sup>-1</sup>, suppressing the formation of ZnO in the gas phase and at the walls. Zinc content of the collected particles downstream varied in the range 40–94% for Ar/Zn(g) dilutions of 170 to 1,500 [57]. Quenching units were also modeled to determine ideal operating conditions [58]. An aerosol kinetic model predicted an increase in the Zn yield upon dilution prior to fast quenching to initiate nucleation [59].

**Figure 4.** Schematic of the quench apparatus illustrating the three temperature zones [57].



*H<sub>2</sub>/CO generation*—Aerosol flow reactors were designed for the formation of Zn nanoparticles followed by their *in situ* reaction with H<sub>2</sub>O and CO<sub>2</sub>. The advantages of using Zn nanoparticles are three-fold: (1) Their inherent high specific surface area augments the reaction kinetics, heat transfer, and mass transfer; (2) their large surface to volume ratio favors complete or nearly complete oxidation; and (3) their entrainment in a gas flow allows for continuous feeding of reactants and removal of

products. Tubular aerosol flow reactors featured three zones for Zn evaporation, steam quenching, and Zn/H<sub>2</sub>O reaction, respectively [60-64]. Superheated Zn vapor carried by Ar was mixed and quenched by a superheated, equimolar H<sub>2</sub>O/Ar stream resulting in Zn/ZnO nanoparticles and H<sub>2</sub>. When the Zn evaporation zone was operated at 1,023 K and the Zn/H<sub>2</sub>O reaction zone was operated continuously just below the Zn(g) saturation temperature, Zn particles of 69 nm average crystallite size were formed and *in situ* hydrolyzed by up to 83% degree of chemical conversion, while the H<sub>2</sub> yield reached up to 70% after a single pass of H<sub>2</sub>O of 0.85 s residence time [60,61]. Follow-up studies with an improved quenching yielded up to 90% H<sub>2</sub> conversion and nanoparticles with Zn and ZnO mean crystallite sizes of 100 and 40 nm, respectively, containing up to 80 wt% ZnO [62]. Quench rates of  $2-6 \times 10^4 \text{ K s}^{-1}$  yielded conversions of up to 95% at the expense of low particle yield due to significant wall deposition with subsequent hydrolysis [63]. Aerosol particles with hexagonal structure were formed by Zn evaporation-condensation containing low ZnO mass fraction. In contrast, operation at quench rates up to  $10^6 \text{ K s}^{-1}$  led to increased particle yield but lower conversion. Filamentary and rod-like particles were formed with high ZnO content by surface reaction and coagulation [63]. Conversions in the range 87–96% were obtained in a similar setup at 1,023–1,073 K with residence times varying from 1.7–2.1 s [64]. Zn particles with an average size of 158 nm were reacted with steam in an aerosol flow tube reactor to yield 24% conversion at 813 K with a residence time of 0.6 s [63]. High Zn-to-ZnO conversions were also obtained for reducing CO<sub>2</sub> to CO over short residence times, e.g., up to 88% over 3 s [65]. These aerosol flow configurations offered high Zn-to-ZnO conversions over short residence times due to augmented reaction kinetics and heat/mass transfer. However, the reactions primarily occurred heterogeneously outside the aerosol jet flow on surfaces with Zn deposition, making the ZnO recovery, process control and scale-up problematic.

Batch reactors have been applied to reduce both CO<sub>2</sub> and H<sub>2</sub>O with Zn. A batch reactor was used to examine the influence of pre-heated steam in the range 473–823 K reacting with Zn particles obtained from the solar carbothermic reduction of ZnO [66], yielding Zn-to-ZnO conversions in the range 24–81% [67]. Laboratory studies were performed with steam bubbling through molten Zn in the range 723–773 K [68], but the continuous removal of ZnO(s) was thought to pose process complications. An alternative approach considered the reaction of Zn vapor with H<sub>2</sub>-H<sub>2</sub>O vapor mixtures in a continuous flow at 773–1,173 K [69], but oxidation occurred heterogeneously on the silica reactor walls by vapor deposition, making efficient recovery of ZnO difficult. The reaction kinetics was further inhibited by the creation of a ZnO layer around un-reacted Zn particles. Recently, a novel packed-bed reactor of Zn/ZnO particles for CO<sub>2</sub> reduction was demonstrated with Zn-to-ZnO conversions as high as 71% [70]. The mixture of Zn and ZnO particles offered an effective inert support for preventing sintering and enabled simple and complete recovery of ZnO particles for recycling to the first solar step.

## 5. Life Cycle and Economic Analyses

A well-to-wheel life cycle assessment was conducted to quantify greenhouse gas emissions and cumulative energy demand for solar hydrogen production, transport, and usage in future passenger car transportation [71]. Solar hydrogen production methods were compared with other production technologies selected, namely: nuclear and hydropower-based electrolysis, steam methane reforming,

and coal gasification. Utilization of hydrogen in fuel cells was compared with advanced gasoline and diesel powertrains. Solar scenarios show distinctly lower greenhouse gas (GHG) emissions than fossil-based scenarios. For example, using solar hydrogen in fuel cell cars reduces life cycle GHG emissions by 70% compared to advanced fossil fuel powertrains and by more than 90% if car and road infrastructure are not considered. Solar hydrogen production allows a reduction of fossil energy requirements by a factor of up to 10 compared to using conventional technologies. Major environmental impacts are associated with the construction of the steel-intensive infrastructure for solar energy collection due to mineral and fossil resource consumption as well as discharge of pollutants related to today's steel production technology. Despite high infrastructure demands and associated environmental impacts, solar energy stored in H<sub>2</sub> and used in automobiles was found to be a promising option for substituting fossil-based fuels in sustainable future transportation systems. Economic analyses have been carried out to determine the long-term potential of the Zn/ZnO-cycle realized in a solar tower system. The cost of H<sub>2</sub> ranged between 0.10 and 0.15 \$/kWh (based on its LHV and a heliostat field cost at 100 to 150 \$/m<sup>2</sup>), and thus might become competitive *vis-à-vis* other paths for producing solar H<sub>2</sub> from H<sub>2</sub>O [15,72-74]. Credit for pollution abatement and CO<sub>2</sub> mitigation can accelerate the deployment of the solar thermochemical technology. A comparison of H<sub>2</sub> produced via steam methane reforming and the Zn/ZnO cycle concluded that a significantly higher carbon tax is required to make the Zn/ZnO competitive than is likely to be implemented [75]. Therefore, the economic viability of the Zn/ZnO cycle must also include competitive, incentive policies that lead to early implementation of solar H<sub>2</sub> plants. On the other hand, the Zn/ZnO cycle can be applied to split both H<sub>2</sub>O and CO<sub>2</sub> and produce both H<sub>2</sub> and CO, thereby laying the path to the solar production of synthetic liquid hydrocarbons for fueling the transportation sector and the existing massive global infrastructure.

## 6. Summary and Conclusions

We have outlined the systematic approach to realize the science and technology required to produce H<sub>2</sub> and/or CO in two-step H<sub>2</sub>O/CO<sub>2</sub> splitting thermochemical cycles via Zn/ZnO redox reactions. Second-law analyses indicate the potential of achieving high solar-to-chemical energy conversion efficiencies and, consequently, economic competitiveness *vis-à-vis* other routes for producing solar fuels from H<sub>2</sub>O and CO<sub>2</sub>. Thermogravimetry was used to identify reaction mechanisms and determine kinetic parameters for all reactions considered. The feasibility of the solar reactor technology has been experimentally demonstrated with a 10 kW solar reactor prototype. Further research and development towards optimization and scale-up is warranted.

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